



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Michael C. SANDERS et al.	§	Confirmation No.:	6110
		§		
Serial No.:	10/017,785	§	Group Art Unit:	2836
		§		
Filed:	12/13/2001	§	Examiner:	R. L. Deberadinis
		§		
For:	Redundant Data And Power	§	Docket No.:	200304298-1
	Infrastructure For Modular	§		
	Server Components In A	§		
	Rack	§		

**DECLARATION OF DILIGENT REDUCTION TO
PRACTICE IN THE UNITED STATES TO
OVERCOME CITED PATENT OR PUBLICATION (37 CFR 1.131)**

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

PURPOSE OF THIS DECLARATION

This declaration is to establish diligence from at least just before September 19, 2001 to the December 13, 2001 filing of the instant patent application.

STATEMENT OF FACTS

1. The person making this declaration represents outside counsel retained by the inventors of the subject matter claimed in the instant application. Outside counsel prepared and filed the instant application. Furthermore, the person making this declaration is the individual who directly supervised the patent agent who drafted the instant application. The patent agent who drafted the instant application is no longer employed by outside counsel.

2. Outside counsel prepared the instant application from at least just before September 19, 2001 to its filing on December 13, 2001.

3. At the time outside counsel began preparing the patent application, Inventor Sanders was the only known inventor, though Inventor Nguyen and Inventor Pascarella were his co-workers who jointly invented the claimed invention. The unintentional omission of the other true inventors was discovered by Applicants' in-house counsel in approximately the beginning of February 2002, after the application had been filed. At that time, Inventor Nguyen and Inventor Pascarella were added in accordance with the M.P.E.P. procedures. For this reason, Inventor Nguyen and Inventor Pascarella were not substantively involved in the preparation of the instant application, but since being added, have cooperated in the further prosecution of the instant application.

4. The following attached documents are submitted as evidence to establish diligence by outside counsel from at least just before September 19, 2001 to December 13, 2001 in preparing and filing the instant patent application:

a. Exhibit Harris 1 attached hereto is a true and correct copy of disclosure notes prepared and used prior to September 19, 2001 by outside counsel in preparing the instant patent application. The disclosure notes are based on a recording of inventor Sanders disclosing the subject matter of the instant application prior to September 19, 2001. The disclosure notes are accompanied by a screen shot of an electronic document management system

log pertaining to these notes, thereby establishing reasonable diligence by outside patent counsel in preparing and filing the instant patent application. The screen shot is obtained from an electronic document management system used by outside counsel to prepare the instant patent application, and reflects dates indicating the outside counsel prepared and saved the disclosure notes prior to September 19, 2001. The notes and screen shot of Exhibit Harris 1 support that work on the application was in progress at least just before September 19, 2001.

b. Exhibit Harris 2 attached hereto is a true and correct copy of a report generated by an electronic time keeping program establishing reasonable diligence by outside counsel in preparing and filing the instant patent application. The report shows that, prior to September 19, 2001, outside counsel began preparing the instant application, and diligently continued work on the instant application, with the exception of periods of time during which inventor Sanders was unavailable for review and comment due to his hospitalization and steadily declining health until his death in late 2001. As previously noted, Inventors Nguyen and Pascarella had not yet been discovered and identified as inventors during the time that the application was being prepared, and thus were not involved.

c. Exhibit Harris 3 attached hereto is a true and correct copy of a screen shot of an electronic document management log establishing reasonable diligence by outside counsel in preparing and filing the instant patent application. The screen shot is obtained from an electronic document management system used by outside counsel to prepare the instant patent application. The screen shot shows that work began and continued in the instant application from at least just before September 19, 2001 until the filing of the instant application on December 13, 2001, with the exception of periods of time during which inventor Sanders was unavailable for review and comment due to his hospitalization and steadily declining health until his death in late 2001.

d. Exhibit Harris 4 attached hereto is a true and correct copy of an initial draft of the instant application sent via email from outside counsel to inventor prior to September 19, 2001.

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e. Exhibit Harris 5 attached hereto is a true and correct copy of a note to file from outside counsel confirming that the initial draft of the instant application (Exhibit Harris 4) was sent from outside counsel to inventor Sanders on Saturday (*i.e.*, the date on which the draft was sent was a Saturday prior to September 19, 2001).

f. Exhibit Harris 6 attached hereto is a true and correct copy of internal email communication of outside counsel indicating that progress on the instant application between at least just before September 19, 2001 and December 13, 2001, was slowed by Inventor Sander's hospitalization, poor health, and ultimately his death in late 2001. Outside counsel contacted him regarding further revisions to the patent application as often as deemed appropriate, given that Inventor Sanders was dying of cancer and was in and out of the hospital during the fall of 2001.

g. Exhibit Harris 7 attached hereto is a true and correct copy of internal email communication of outside counsel indicating that progress on the instant application between at least just before September 19, 2001 and December 13, 2001, was slowed by Inventor Sander's hospitalization, poor health, and ultimately his death in late 2001. Outside counsel contacted him regarding further revisions to the patent application as often as deemed appropriate, given that Inventor Sanders was dying of cancer and was in and out of the hospital during the fall of 2001.

h. When it became clear that Inventor Sander's health problems, which ultimately resulted in his death, precluded him from further participation in preparing the application, the instant application was filed December 13, 2001.

i. After filing the instant application, the unintentional omission of Inventor John Nguyen and Inventor Randall Pascarella was discovered by Applicants' in-house counsel, and appropriately remedied by outside counsel. As noted above, Inventor Nguyen and Inventor Pascarella did not, for this reason, participate in preparation of the instant application since their involvement as

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inventors was not yet discovered; however, since being added, each has participated in prosecution of the instant application.

5. I hereby declare that all statements made herein are true; that these statements are made from my own knowledge; that these statements are made with knowledge that willful false statements and the like are punishable by fine, imprisonment, or both, under Section 1001 of Title 18 of the United States Code; and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

DATE:

April 12, 2006



Jonathan M. Harris
PTO Reg. No. 44,144
CONLEY ROSE, P.C.
(713) 238-8000 (Phone)
(713) 238-8008 (Fax)
ATTORNEY FOR APPLICANTS

Redundant data/power infrastructure – 39100

Three years ago. Disclosure 98-2403, Central Management Bus not to be submitted. Instead, disclose redundant data and power infrastructure for modular devices in a rack. Each server has a redundant set of connections to a pair of aggregators (switches) and redundant power fields. Switches can be daisy chained together to connect chassis of servers together. Each chassis has up to 6 servers.

Problem: How do I deploy large numbers of servers quickly and reliably?? 2 big issues: mechanically, how do I get them in and out quickly for servicing? How do I eliminate the wiring. Wiring takes the most amount of time. Can come up with a mechanical package for sliding servers in and out, but how do I eliminate wiring? Infrastructure for Cable Elimination (ICE).

First looked at 1U servers. Minimum 2 hot plug drives out the front. Smallest face plate that accommodates this. 8 slots (servers), 16 drives in the face. 2 switches on sides. Backplane does the cabling for all of these. Backplane is a thick pc board. Power backplane has electronic fuses. Prevents a shorted out server from blowing the whole system. Only shuts power to that slot. Power distribution is through backplane. 75 amps of power.

2 separate infrastructures. Set of cabling & backplanes for signaling & management bus. Ethernet (Internet Protocol) now. Eventually mixed or infiniband. Switches on the end can convert to ethernet if we want infiniband internally. IB is a good way of connecting drive structures. Now, hard SCSI connect. Cables are bulky. Fibre channel is another option, but there is no standard, so couldn't offer something that works with everything.

IB is standard across platforms. Block level access, memory access, q-pairs (allows you to associate areas on the network), SAN. Ethernet has high latency. Want to support scaling of processing systems.

Invention is the concept of interconnecting servers (fabric), which is heretofore undefined. Invention imposes a structure on this that is flexible enough to allow a customer build as he wants, but permits "pre-wiring" and mechanical interface. Can pre-wire systems, but at least a few customers will still need to reconfigure because of their particular application. This system is generic and should be usable by all. Less weight, time, service.

Major components, icebox (8 slots for servers blades, 2 switch blades). Redundancy over all power and signals. High reliability. Dual pathways. Preferably uses one and flips to the other if there is a failure. May alternatively transmit over both simultaneously. If one is lost, we just lose bandwidth.

For every server blade, both signals and power go in each direction to both switches. Multiple connections in each direction. Hand drawn sketch.

Some conventional racks include a single switch feeding all servers and or subsequent switches. More of a pyramid scheme. Can't build racks this way because we don't know what people want.

Customer can still implement with the preferred embodiment, but can now interconnect with very short cables.

With infiniband, switches are infiniband connections may be used with disk drives, SAN, etc...

Permits online server spares. Can automatically switch to a new server if one goes down.

Power Supply: A & B Through busbar, out of each side 400 amps, 48 V. Connected through a switch breaker built into bus bar into power backplane. Power infrastructure is redundant as well. A & B can be off different AC circuit so even if an AC main is lost, still have power.

Each icebox has a 75 amp breaker. Each slot has an electronic breaker. Management network for each rack to automatically turn power on or off. Knows order of chassis #, slot #. Freon network orders the boxes, top to bottom. Rack name is arbitrary. Even power chassis has slots (6). 2 bus bars, each chassis has an A & B. Power backplane connects to each bus bar. Can draw power from one, the other, or from both. A & B capable of running the entire rack. Each can provide 1800 W. Customer can elect to prioritize servers to maintain redundancy if power goes down. DISA distributed internet server architecture. Turn off front end servers.

Pass through cable or in backplane.

Modular to the point where we can replace blades, backplanes, or chassis.

Document Number: 54347
 Date Created: 9/5/2001
 Last Edit: 9/5/2001
 Process Date: 3/31/2007
 Total Time: 2 hours 60 minutes
 Typing Time: 1 hour 9 minutes
 Keystrokes: 5014

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From: Teri Rhodes
Sent: Friday, March 31, 2006 10:27 AM
To: Jonna T. Flores
Subject: **

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39100	06/26/2001	HARA	99814	4.00	735.30	2,941.18	Creating Figures
39100	07/10/2001	HARA	108553	6.50	0.00	0.00	Writing application.
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39100	07/20/2001	HARA	108553	7.40	0.00	0.00	Writing application.
39100	07/30/2001	HARA	108553	3.70	0.00	0.00	Writing application.
39100	08/24/2001	HARA	108553	7.90	0.00	0.00	Writing application.
39100	08/27/2001	HARA	108553	7.70	0.00	0.00	Writing application.
39100	08/28/2001	HARA	108553	7.50	0.00	0.00	Writing application.
39100	08/29/2001	HARA	108553	4.90	0.00	0.00	Finishing application.
39100	09/18/2001	HARA	108553	3.40	0.00	0.00	Inventor comments/changes.
39100	11/12/2001	HARA	108553	1.30	0.00	0.00	Changes per inventor.

Document Number: 54783

Date Created: 9/10/2001

Last Edit: 12/13/2001

Process Date: 3/31/2006

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init. draft sent 9/15/01

1662-39100
P98-2403

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
APPLICATION FOR UNITED STATES LETTERS PATENT

**REDUNDANT DATA AND POWER INFRASTRUCTURE FOR
MODULAR SERVER COMPONENTS IN A RACK**

By:


Michael Sanders
18327 Champion Forest Drive
Spring, TX 77379
Citizenship: USA

BEST AVAILABLE COPY

REDUNDANT DATA AND POWER INFRASTRUCTURE FOR MODULAR SERVER COMPONENTS IN A RACK

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] Not applicable.

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

[0002] Not applicable.

BACKGROUND OF THE INVENTION

Field of the Invention

[0003] The present invention generally relates to rack mounted computer servers. More specifically, the preferred embodiment relates to data and power distribution between and among servers within a rack system. More particularly still, the preferred embodiments of the present invention relate to a modular infrastructure for distributing redundant power and data in a rack system.

Background of the Invention

[0004] Conventional rack mount servers provide a flexible and effective way of providing varying levels of computing power in a relatively small volume. Within a single rack, multiple servers may be coupled together to perform common tasks. In addition, servers of different sizes may be installed in a rack to provide different levels of storage or processing capacity. Adding to this flexibility is the fact that the size of racks and servers are rather standardized. Many conventional racks comply with the EIA (Electronic Industries Alliance) standard 19 inch width for server and laboratory equipment racks. In addition to this width standard, many conventional

rack mount servers also comply with the a unit height ("U") standard of 1.75 inch. Thus, a 1U server has a height of 1.75 inch while a 4U server is 7 inches high. Thus, servers of different sizes may be installed in different combinations within a server rack to provide a fully tailored system.

[0005] Unfortunately, along with this expandability comes the complexity of deploying a fully configurable system. The interconnection fabric for conventional rack mounted server networks is system dependent and must be developed from scratch. With conventional rack systems, multiple cables must be connected to each server for data, power, system management and any other device dependent connections. A typical deployment involves dozens of power and data cables that must be routed and neatly bundled to prevent cross-talk or other interference. It can literally take hours to wire up and deploy a single rack. Deploying multiple racks adds to the complexity because cables are needed for every server in every rack.

[0006] If system administrators wish to combine servers in separate racks into a common network, a switch or hub must be incorporated in the racks to transmit data between racks and among servers within a rack. This once again adds to the complexity of the system as provisions must be made for space and wiring of the switch/hub. Unless these provisions are made ahead of time, an existing network must be modified. Unfortunately, modification of a network of rack servers is complicated by the fact that cables often need rerouting and rebundling. This example is just one of many showing how deployment of a network of conventional rack mounted servers requires extensive planning and forethought. Conventional rack server networks are simply not easily deployed or modified.

[0007] Another problem with conventional systems arises when an individual server needs to be replaced. In large network applications such as with service providers, it is not uncommon for multiple racks to sit side by side, lining the walls of entire rooms. In this scenario, access to the

rear of any individual rack is limited. To disconnect and remove a single server, the entire rack must be pulled out or positioned to access the rear of the server and the correct cables must be located and disconnected. As one can see, replacing a single rack mounted server can be inconvenient and time consuming.

[0008] In light of these issues, it would therefore be desirable to provide an infrastructure for rack mounted server components that eliminates much of the cabling that is required in conventional systems. The novel infrastructure would advantageously decrease the amount of time required to deploy a rack of servers. In addition, the improved method would facilitate the rapid replacement of individual servers within a rack.

BRIEF SUMMARY OF THE INVENTION

[0009] The problems noted above are solved in large part by a computer server rack, comprising a plurality of modular server chassis, each chassis configured to hold a plurality of servers and at least one data aggregator. Each server is preferably enclosed in a housing called a server blade. The data aggregator, which is preferably implemented as an ethernet network switch, is coupled to each server in the same chassis via a point to point link. The data aggregator may also be implemented using an Infiniband network switch. The point to point link is preferably encapsulated in a data backplane. Individual servers in a chassis are coupled to a network by coupling the aggregator in the same rack to the network. Preferably, each chassis includes at least a second aggregator coupled to each server in the same chassis. This second aggregator is coupled to each server in the chassis via a redundant copy of the same point to point link that exists between the first aggregator and each server in the same chassis.

[0010] Each rack also comprises at least one group of AC to DC power supplies. The rack further includes a power bus bar configured to transmit power from the power supplies to a power

backplane in each server chassis. DC power is provided to each server in the rack through the power bus bar and through the power backplane located in the same chassis. A redundant second group of AC to DC power supplies are also configured to transmit power to the power backplane in each server chassis through the power bus bar. Power from each group of power supplies is transmitted to a separate power transmission line in the bus bar. In this manner, DC power from both the first and the second groups of AC to DC power supplies is provided to each server in the rack through the power bus bar and the power backplane located within the same chassis. A power connector at the rear of each server blade enclosure mates with a mating power connector on the power backplane. The power backplane also includes a fuse between the power supply and each device slot.

[0011] For data transmissions, a data connector at the rear of each server blade enclosure mates with a mating server data connector on the data backplane. Each switch also couples to the data backplane with mating data connectors. The point to point links in the data backplane preferably comprise an ethernet link, an infiniband link, and a server management link. Network connections external to the chassis are established with data cables. That is, switches in different chassis are connected using a data cable. In addition, servers in a chassis are connected to a network by coupling the switches in those chassis to the network using a data cable as well. Connecting servers in different racks is also accomplished by coupling switches in those racks using a single data cable.

[0012] Each modular server chassis preferably includes a plurality of server slots, each server slot configured to accept the server blades. The switches are preferably located on opposite sides of the chassis. In the preferred embodiment, each chassis holds 8 servers and two switches and has a height equivalent to six standard rack units (U's) or 10.5". In contrast with conventional rack

mount server systems, the server and network device slots in the preferred embodiment are vertical slots.

BRIEF DESCRIPTION OF THE DRAWINGS

[0013] For a detailed description of the preferred embodiments of the invention, reference will now be made to the accompanying drawings in which:

[0014] Figure 1 shows a pictorial representation of a computer server rack, server chassis, and power chassis in accordance with the preferred embodiment;

[0001] Figure 2 shows a block diagram of a computer server with which the preferred embodiment may be implemented;

[0015] Figure 3 shows a block diagram showing the preferred power and data distribution scheme within a server rack;

[0016] Figure 4 is a rear isometric view of a server rack showing the preferred power bus bar and power backplane distribution scheme;

[0017] Figure 5 shows a diagrammatic representation of the server footprint along with the preferred data backplane within the preferred server chassis;

[0018] Figure 6A shows the preferred point to point network data link within the preferred data backplane;

[0019] Figure 6B shows an alternate embodiment using the preferred point to point network data link within the preferred data backplane; and

[0020] Figure 6C shows yet another alternate embodiment using the preferred point to point network data link within the preferred data backplane.

NOTATION AND NOMENCLATURE

[0021] Certain terms are used throughout the following description and claims to refer to particular system components. As one skilled in the art will appreciate, computer companies may refer to a component by different names. This document does not intend to distinguish between components that differ in name but not function. In the following discussion and in the claims, the terms “including” and “comprising” are used in an open-ended fashion, and thus should be interpreted to mean “including, but not limited to...”. Also, the term “couple” or “couples” is intended to mean either an indirect or direct electrical connection. Thus, if a first device couples to a second device, that connection may be through a direct electrical connection, or through an indirect electrical connection via other devices and connections. In addition, the following non-limiting definitions are provided to aid in understanding the preferred embodiments of this invention:

[0022] **Rack** - a rack is a structural system that forms a frame for mounting other devices therein in a rack mounted computer system. The rack could be as simple as a top, a bottom and four corner pieces forming the structure, but may also include decorative or functional coverings around and on those structural components.

[0023] **Chassis** - a chassis is a structure, typically smaller than the overall rack, that is mounted within the rack. In the preferred embodiments of the present invention, individual servers are mounted in the rack mounted system by insertion into the chassis structures. A chassis may alternatively be referred to as a port or an enclosure.

[0024] **Server** - a server is an individual computer mounted within a rack system. Because most computers mounted in rack systems perform server-type operations, throughout this discussion those devices will be referred to as servers. However, the description herein pertains equally to any rack mounted computer system performing server operations or otherwise.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0025] Referring now to Figure 1, rack system 100 represents a server rack in accordance with the preferred embodiment. Rack 100 is preferably configured to accept 19 inch wide rack equipment in compliance with EIA width standards and RETMA mounting standards. Rack 100 preferably comprises various chassis, server, and power supply components as depicted. For illustrative purposes, server rack 100 is fitted with hardware comprising different types of servers 160, 170 and power supplies 180. Power supplies 180 are preferably redundant supplies that provide power to servers 160, 170. By way of example, and not by way of limitation, the servers shown in Figure 1 include application servers 160 and back-end servers 170. Server rack 100 may also be fitted with other hardware and in different configurations as will be recognized by those skilled in the art. For the purposes of this description of the preferred embodiment, however, it may be assumed that the rack includes servers of the type described herein. Application servers 160 are preferably designed for less demanding tasks than the back-end servers 170. For example, application servers 160 may be used for web and ASP (Application Service Provider) hosting or media streaming while back-end servers 170 might be used as database servers or as gateways to a storage area network. In general, because of larger processing and storage requirements, the back-end servers 170 may occupy a larger volume of space than the application servers 160. It should also be noted that other servers, such as front-end servers (not shown), that may be used for tasks such as individual web servers or for dedicated applications such as firewalls or for DNS lookup may also be included in rack 100.

[0026] Each of the servers 160, 170 are preferably encased in a modular, removable housing called a "blade" 190. These blades 190, in turn, are installed in a vertical configuration in any of a plurality of modular chassis subframes 150 within rack 100. Similarly, the power supplies are

enclosed in a separate power supply chassis 155. According to the preferred embodiment, the server rack 100 preferably includes six server chassis 150 and two power chassis 155. Within any server chassis 150, server blades 190 are designed to be fully interchangeable with one another. Thus, if a server goes down and needs to be replaced, the existing blade is simply swapped for a new blade. As the blades are inserted into a given chassis, connectors at the rear of the blade couple to mating connectors at the rear of the chassis to provide power and data connectivity. The blades are preferably locked into place in the chassis with any suitable latching hardware such as quick-release latches, thumbscrews, or some other type of captive hardware or retaining devices.

[0027] The blade form factor for application servers 160 may be smaller than for back-end 170 servers. However, in accordance with the preferred embodiment, each of these types of server blades may be installed in any location within the server rack 100. More specifically, the server chassis 150 are preferably configured to accept any type of server 160, 170. Naturally, the size of the various types of servers 160, 170 will determine how many of each server will fit in a given chassis 150.

[0028] Referring now to Fig. 2, a representative server system 200 that may be encased in server blade 190 is illustrated. It is noted that many other representative configurations exist and that this embodiment is described for illustrative purposes. The server 190 of Fig. 2 preferably includes multiple CPUs 202 coupled to a bridge logic device 206 via a CPU bus 203. The bridge logic device 206 is sometimes referred to as a "North bridge" for no other reason than it often is depicted at the upper end of a computer system drawing. The North bridge 206 also preferably comprises a memory controller (note shown) to access and control a main memory array 204 via a memory bus

205. The North bridge 206 couples CPUs 202 and memory 204 to each other and to various peripheral devices in the system via one or more high-speed, narrow, source-synchronous

expansion buses such as a Fast I/O bus and a Legacy I/O bus. The North bridge 206 can couple additional "high-speed narrow" bus links other than those shown in Figure 2 to attach other bridge devices and other buses such as a PCI-X bus segment to which additional peripherals such as a 1 Gigabit Ethernet adapter (not shown) may be coupled. The embodiment shown in Figure 2 is not intended to limit the scope of possible server architectures.

[0029] The Fast I/O bus shown in Figure 2 may be coupled to the North bridge 206. In this preferred embodiment, the Fast I/O bus attaches an I/O bridge 214 that provides access to a high-speed 66Mhz, 64-bit PCI bus segment. A SCSI controller 215 preferably resides on this high speed PCI bus and controls multiple fixed disk drives 222. In accordance with the preferred embodiment, the disk drives 222 are preferably hot-pluggable from the front of rack 100, but may also be fixed. The high speed PCI bus also provides expansion slots 216 that permit coupling of peripheral devices that comply with the high speed PCI bus.

[0030] The Legacy I/O bus is preferably used to connect legacy peripherals and a primary PCI bus via a separate bridge logic device 212. This bridge logic 212 is sometimes referred to as a "South bridge" reflecting its location vis-à-vis the North bridge 206 in a typical computer system drawing. An example of such bridge logic is described in U.S. Patent No. 5,634,073, assigned to Compaq Computer Corporation. The South bridge 212 provides access to the system ROM 213 and provides a low-pin count ("LPC") bus to legacy peripherals coupled to an I/O controller 226. The I/O controller 226 typically interfaces to basic input/output devices such as a floppy disk drive 228 and, if desired, various other input switches such as a power switch and a suspend switch (not shown). The South bridge 212 also may provide one or more expansion buses, but preferably provides a 32-bit 33Mhz PCI bus segment on which various devices are disposed. It should be noted that the Legacy I/O bus may be narrower than other "high speed narrow" buses if it only

needs to satisfy the bandwidth requirements of peripherals disposed on the 33Mhz, 32-bit PCI bus segment.

[0031] Various components that comply with the bus protocol of the 33Mhz, 32-bit PCI bus may reside on this bus, such as a network interface card ("NIC") 217. The NIC 217 is preferably coupled to a network 218 for communication with other computers or servers. The NIC 217 may be integrated onto the motherboard as presumed by Fig 2, or may be plugged into expansion slots 210 that are connected to the PCI bus. The network card 217 may conform to any network protocol including IP (Internet Protocol) standards such as 10/100 Mbps Ethernet or alternatively Infiniband (IB) protocols. Furthermore, even though only one NIC 217 is shown in Figure 2, it may be desirable to include a second NIC (not shown) for redundancy.

[0032] Referring still to Figure 2, server 200 preferably also includes an Advanced Server Management ("ASM") unit 230 disposed on the 33Mhz, 32-bit PCI bus. According to the preferred embodiment, the ASM 230 is a multipurpose management ASIC chip that provides various management facilities. The ASM ASIC 230 preferably includes an input/output (I/O) processor (not shown) to provide intelligent control of the management architecture in the server 200. In addition, the ASM 230 also preferably includes one or more out-of-band communication interfaces such as a remote or virtual console interface 234 and a power management interface 232. These communication interfaces permit out-of-band communication with the ASM 230 to enable remote monitoring, control, and detection of various system management events, including power requirements for the server 200. Thus, in the event of a system failure or errors, a system administrator may remotely connect to server 200 through the virtual console interface 234 to perform a number of tasks including system monitoring and

diagnosis. This connection may also allow an administrator to draw up diagnostic programs, perform a PXE boot, or perhaps even load an operating system (OS) onto server 200.

[0033] Referring now to Figure 3, a schematic representation of the power and data distribution system in server rack 100 is shown. In Figure 3, rack 100 includes two power supply chassis 155 and, in the interest of clarity, two (instead of the preferred six) server chassis 150. According to the preferred embodiment, each power supply chassis 155 includes six separate power supplies 300 capable of converting AC voltage into DC voltage. The DC voltage is transmitted to the rack components using a power bus bar scheme described in more detail below. Each server chassis 150 preferably holds up to 8 individual servers 200 and two network aggregators, which are preferably implemented using ethernet network switches 340.

[0034] The power distribution system is designed to be completely redundant. That is, the power supplies are preferably divided into A and B halves, with each half providing power to each component in the rest of the rack 100. This power redundancy is shown in Figure 3, where the power supplies 300 on the left half of the rack 100 distribute power along the A distribution path 310 and the power supplies 300 on the right half of the rack 100 distribute power along the B distribution path 320. AC power may be provided to all the power supplies 300 from a common source, but is preferably provided to each half A & B from separate AC supplies for additional redundancy. Power from the power supplies 300 are transmitted to each server chassis 150 and then routed 330 within the chassis to each component within the chassis. During normal operations, the components within each chassis 150 preferably operate using power from the A and B sides of power supplies 300, however it must be noted that each half is completely sufficient to power the entire rack 100. Thus, if separate AC supplies are used and one of these AC supplies fails, the rack 100 can remain in operation. It should also be noted that while the power supplies in

Figure 3 have been split into redundant left and right halves (A & B), redundancy may also be obtained by splitting the power supplies into top and bottom halves or some other suitable division. Furthermore, it is also possible that more than two subdivisions of the power supplies may be implemented for further redundancy.

[0035] The data transmission paths in rack 100 are represented in Figure 3 by dashed arrows. Each server chassis 150 preferably includes switches 340 on both sides of the chassis. In accordance with the preferred embodiment, each switch 340 has point to point data links 350 with each server 200 and also preferably includes at least two open connector ports 352. These open connector ports 352 in each switch 340 permit cable connections between server chassis 150 or external networks. The point to point links 350 as depicted in Figure 3 should not be interpreted to mean that servers 200 are interconnected to one another, but rather that each individual server is linked to the switches 340 at either end of the chassis 150. The data links are shown in greater detail in Figures 6A-6C and discussed below.

[0036] In accordance with the preferred rack mount server system, the only data connections requiring physical cables are those that are coupled to the connector ports 352 in switches 340. All other power or data transmissions 330, 350 take place along a power bus bar, a power backplane and a data backplane as shown in Figures 4, 5, and 6A-6C. Data and power connections for each individual server 200 are provided by connectors within the data and power backplanes. As each server is inserted and seated within a server chassis 150, connectors at the rear of the server mate with connectors in the data and power backplanes for full connectivity. Thus, the preferred embodiment eliminates most of the cabling required with conventional rack mount servers. The full scope of the power and data infrastructure is discussed below.

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[0037] Referring now to Figure 4, an isometric view of the rear of server rack 100 is shown. In Figure 4, servers 200 and data backplanes are omitted for clarity. Instead, only the power supplies 300, server chassis 150 and power bus infrastructure are shown. The preferred power distribution scheme uses a vertical bus bar 400 to transmit power from the power supplies to the remainder of the rack. In accordance with the preferred embodiment, the power supplies convert AC voltage from an external source and transmit 48 VDC at 400 amps to the bus bar 400. From the bus bar 400, a power backplane 410 attached to each server chassis 150 taps power from the bus bar 400. As discussed above, the power distribution scheme is redundant and therefore, the bus bar 400 transmits DC voltage from the A & B halves of the power supply along separate voltage supply lines. Each power backplane 410 is then coupled to the A & B voltage lines in the bus bar 400. The power backplane 410, which is preferably manufactured of printed circuit board materials, transfers DC voltage from each voltage line (A & B) to each server slot in server chassis 150. In Figure 4, the power termination for each server slot is shown as a single connector 420, but separate connectors for the A & B voltage sources may also be implemented. Furthermore, in addition to powering servers 200, the power backplane also includes connectors to provide power to switches 340.

[0038] The power backplanes 410 are predominantly passive in that they simply transmit voltage from source (power bus bar 400) to destination (slot connectors 420). However, the power backplanes 410 may also be manufactured with electronic fuses or breakers (not specifically shown) for each voltage transmission line in the backplane. Fuses such as these operate to isolate voltage and current surges and may advantageously prevent electronics damage to servers 200 and/or power supplies 300 caused by shorts in any single server.

[0039] Referring now to Figure 5, the footprint for a single server chassis 150 is shown. In accordance with the preferred embodiment, each chassis is installable in an EIA standard 19" wide rack and has a 6U height. That is, each chassis has a height of 10.5". Each chassis 150 preferably holds two switches 340 and has slots to hold eight 1U wide server blades 500. It should be noted that in contrast to conventional rack mount servers, which are installed horizontally into a rack, the server blades 190 that are inserted into each server chassis 150 are predominantly vertical. This form factor for 1U wide server blades 500 permits a front faceplate that fits at least two hot-plug hard drives (not shown) that may be removed from the front of a server without the need to remove the entire server.

[0040] As discussed above, servers are designed to perform different tasks and, depending on the storage or processing capacity required, the size of the servers may differ. The preferred server chassis design described herein is configured to accept servers of various widths. For instance, in Figure 6, the center block shows that the preferred server chassis footprint readily accepts a 1U wide server blade 500, a 2U wide server blade, or any general server blade whose width is some integer multiple of the standard U dimension (1.75"). Added together, the sum of the U widths of the servers in the preferred chassis cannot exceed eight, although smaller numbers are certainly permissible. Thus, in the example provided in Figure 6, server blade 520 is the equivalent of a 5U wide server blade and fits in a chassis with server blades 500, 510 and switches 340.

[0041] The data transmission infrastructure uses a data backplane 550 associated with each server chassis 150. In conjunction with the standard server chassis footprint, the data backplane includes connectors for each of the eight server slots 570 and the two switches 560. As with the power backplane 410, the data backplane 550 is preferably manufactured from printed circuit board materials and the connectors are preferably VHDM (very high density metric) connectors.

Mating connectors 580 are accessible from the rear of the servers 500, 510, 520 and switches 340 installed in the server chassis 150. Once installed, the mating connectors 580 in the server couple with the data backplane connectors thus providing full network connectivity in a matter of seconds. For servers that occupy more than one slot in the server chassis 150, the server may conceivably only use one mating connector on the backplane 550, thereby leaving some of the backplane connectors 570 unused. Naturally, those skilled in the art will recognize that many backplane material and connector configurations are certainly possible. Further, it is also possible that multiple connectors may be used for each chassis slot provided that mating connectors are properly positioned on the server blades.

[0042] The data backplanes 550 preferably include traces creating the point to point links 350 between each server 200 and each switch 340 in a chassis 150 as discussed above in conjunction with Figure 3. More specifically, the data backplane provides redundant data transmission lines from each server connector 570 to each switch connector 560. The specific data lines are shown more clearly in Figure 6A, which shows the preferred data transmission links. In the preferred embodiment, a server connector 570 for one server slot is shown, but identical data transmission lines are built into the data backplane for every server slot. Thus, the remaining server connectors are omitted from Figure 6A for clarity.

[0043] In accordance with the preferred embodiment, each server connector 570 is coupled to six different signal traces. These signal traces include redundant copies of three distinct data links: an internet protocol (IP) link, an infiniband (IB) link, and a virtual console (VC) link, all discussed above in conjunction with the representative server of Figure 2. The IP link may be a 10 Mbps, 100 Mbps, or 1Gig ethernet network. The VC link is also preferably an ethernet link while the IB link is preferably a 1X (single differential pair) infiniband link. Figure 6A also shows the two

connector ports 352 discussed above. In the preferred embodiment, the switches 340 are ethernet switches and, therefore, information forwarded along the IP and VC ethernet lines are forwardable via the connector ports 352. The IB links are included for future expandability and are intended to be used with IB switches as shown in Figure 6C.

[0044] A distinct feature of the preferred infrastructure is that the power and data components are modular and therefore exchangeable and upgradable. For instance, in Figure 6B, switches 340 are replaced with simple ethernet pass through devices 600 that merely forward data received along each of the ethernet links received at the data backplane connectors 560. As such, instead of two ethernet output ports 352 as shown in Figure 6A, a plurality of IP or ethernet signals are transmitted along by the pass through devices 600.

[0045] Figure 6C shows a similar example wherein the switches 340 are replaced with infiniband switches. In this scenario, the switches are capable of handling both IP and IB data and, as such, the output from these switches include a combination of IP and IB ports. This alternative configuration may advantageously accommodate a 4X (4 differential pair) IB uplink and downlink connections as well as IP connections at each IB switch. As such, the preferred embodiment may advantageously provide access to storage area networks and other networks that comply with the infiniband connectivity protocol.

[0046] In each of the examples 6A-6C, the external connection ports preferably provide a way to connect each of the servers within that chassis. The redundancy built into this infrastructure provides less reliance on a single data aggregator or network device, whether it be the preferred switch, a network hub, or a pass through device. In the preferred embodiment, switch 340 has two connector ports 352 that permit multiple server chassis 150 to be coupled. Servers in different racks 100 may be coupled by connecting switches 340 from each rack with a single cable. Within

a rack, switches may be daisy chained together to form a larger network. Given that switches in adjacent server chassis are in close proximity to one another, the cables that are used to daisy chain the switches are short in length. Consequently, cable quantities and weight are significantly reduced over convention server racks. Thus, the preferred embodiment eliminates most of the cabling required in conventional rack mount server systems while at the same time providing a flexible infrastructure for creating a user-configured network.

[0047] The end result of these advantages is that the improved server infrastructure reduces the amount of time needed to deploy a rack of servers. In addition, when a server fails, server downtime is reduced because a server can be removed and installed in a matter of seconds. Furthermore, the preferred embodiment provides redundant power and data pathways for high reliability. The above discussion is meant to be illustrative of the principles and various embodiments of the present invention. Numerous variations and modifications will become apparent to those skilled in the art once the above disclosure is fully appreciated. It is intended that the following claims be interpreted to embrace all such variations and modifications.

CLAIMS

What is claimed is:

- 1 1. A computer server rack, comprising:
 - 2 a plurality of modular server chassis, each chassis configured to hold a plurality of servers
 - 3 and at least one data aggregator coupled to each server in the same chassis via a point to point link;
 - 4 at least one group of AC to DC power supplies;
 - 5 a power bus bar configured to transmit power from the power supplies to a power
 - 6 backplane in each server chassis;
 - 7 wherein DC power is provided to each server in the rack through the power bus bar and the
 - 8 power backplane located in the same chassis.
 - 1 2. The computer server rack of claim 1 further comprising:
 - 2 a second group of AC to DC power supplies configured to transmit power to the power
 - 3 backplane in each server chassis through the power bus bar;
 - 4 wherein DC power from both the first and the second groups of AC to DC power supplies
 - 5 is provided to each server in the rack through the power bus bar and the power backplane located
 - 6 in the same chassis.
 - 1 3. The computer server rack of claim 2 wherein servers in a chassis are coupled to a network
 - 2 by coupling the aggregator in the same rack to the network.
-
- 1 4. The computer server rack of claim 3 wherein the aggregator is an IP network switch.

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1 5. The computer server rack of claim 3 wherein the aggregator is an Infiniband network
2 switch.

1 6. The computer server rack of claim 3 wherein each server chassis further comprises:
2 a second aggregator coupled to each server in the same chassis via a redundant copy of the
3 point to point link between the first aggregator and each server in the same chassis.

1 7. An method for providing data and power connectivity to a plurality of rack mount servers
2 comprising:
3 housing each server in a chassis;
4 housing a first network switch in each chassis;
5 coupling the first switch to each server in the same chassis with a point to point network
6 link;
7 housing a plurality of chassis in a rack;
8 housing a plurality of power supplies in the rack;
9 transmitting power from the power supplies to a power bus bar;
10 transmitting power from the power bus bar to each chassis; and
11 connecting servers in separate chassis to the same network by coupling the switches in
12 those chassis to one another.

1 8. The method of claim 7 further comprising:
2 housing a second network switch in each chassis; and

3 coupling the second switch to each server in the chassis with the same point to point
4 network link as between each server in the chassis and the first network link.

1 9. The method of claim 8 further comprising:
2 enclosing each server in a blade enclosure.

1 10. The method of claim 9 further comprising:
2 subdividing the power supplies into at least two groups;
3 transmitting power from each group of power supplies to a separate power transmission
4 line in the bus bar;
5 transmitting power from each power transmission line to each server and switch in each
6 chassis through a power backplane at the rear of each chassis.

1 11. The method of claim 9 further comprising:
2 coupling a power connector at the rear of each server blade enclosure with a mating power
3 connector on the power backplane;

1 12. The method of claim 9, further comprising:
2 encapsulating the point to point links in a data backplane; and
3 coupling a data connector at the rear of each server blade enclosure with a mating server
4 data connector on the data backplane.

1 13. The method of claim 12, further comprising:

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2 coupling a switch connector at the rear of the switches with a mating switch data connector
3 on the data backplane; and
4 coupling the switches in separate chassis using a data cable.

1 14. The method of claim 7, further comprising:
2 connecting servers in different racks by coupling switches in those racks using a single data
3 cable.

1 15. The method of claim 7, further comprising:
2 connecting servers in a chassis to a network by coupling the switches in those chassis to the
3 network.

1 16. A modular server chassis installable in a rack and configured to hold a plurality of servers
2 comprising:
3 a plurality of server slots, each server slot configured to accept a server encased in a server
4 blade;
5 a plurality of network device slots, each network device slot configured to accept a network
6 device;
7 a data backplane; and
8 a power backplane;
9 wherein network connectivity for each server is provided through point to point links in the
10 data backplane between each server slot and each network device slot.

1 17. The server chassis of claim 16 wherein the server and network device slots are vertical
2 slots.

1 18. The server chassis of claim 16 wherein;
2 the power backplane is configured to transmit power from a plurality of redundant power
3 supplies to each server and network device slot.

1 19. The server chassis of claim 18 wherein;
2 the power backplane further comprises a fuse between the power supply and each device
3 slot.

1 20. The server chassis of claim 18 wherein;
2 the power backplane further comprises a VHDM connector for each server slot and each
3 network device slot configured to mate with a mating connector on the server blades and network
4 devices to transmit power to the server blades and network devices.

1 21. The server chassis of claim 16 wherein the point to point links in the data backplane
2 comprise:
3 an ethernet link;
4 an infiniband link; and
5 a server management link.

1 22. The server chassis of claim 21 wherein;

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2 the data backplane further comprises a VHDM connector for each server slot and network
3 device slot configured to mate with a mating connector on the server blades and network devices to
4 transmit signals along the point to point links between the server blades and network devices.

1 23. The server chassis of claim 17 wherein the server chassis holds 8 server blades, each blade
2 having a 1U width and two switches.

1 24. The server chassis of claim 23 wherein the server chassis has a 6U vertical height.

ABSTRACT

A modular infrastructure for a computer server rack, comprising modular server chassis, each chassis configured to receive a plurality of servers and two network switches. The switches are redundantly coupled to each server in the same chassis via point to point links within a data backplane. The rack further comprises redundant AC to DC power supplies configured to transmit power to a power backplane in each of the server chassis through a power bus bar. DC power is provided by both power supplies to each server in the rack through the power bus bar and a power backplane located in the same chassis. Each power supply is sufficient to power the entire rack. Servers within separate chassis are coupled into the same network by merely daisy chaining the switches in the rack. Individual servers are deployed by sliding the servers into a server chassis to engage connectors in the backplanes.

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I sent a copy to Mike
Sanders on Saturday. He's
working from home recovering from
surgery. (39100) AA

Colleen Brown

From: Andrew Aranda
Sent: Friday, October 12, 2001 1:08 PM
To: Colleen Brown
Subject: RE: COMPAQ 1662-39800

yeah, last week. he was calling from his hospital bed. i told him we can get together whenever he feels well enough to discuss this and 39100

-----Original Message-----

From: Colleen Brown
Sent: Friday, October 12, 2001 1:04 PM
To: Andrew Aranda
Subject: FW: COMPAQ 1662-39800

Have you heard from the inventors?

<< Message: COMPAQ 1662-39800 >>

From: Andrew Aranda
Sent: Tuesday, September 25, 2001 10:06 AM
To: Jon Harris
Cc: Colleen Brown
Subject: RE: ISSG STATUS CHART_v3.DOC

398 - I sent a second draft to the inventors last Tuesday. I emailed a reminder Thursday and phoned yesterday and indicated that if I didn't hear from them, we'd initiate the paperwork. I think we can proceed with formals at this point. But see about Mike Sanders below.

391, 392 - Mike Sanders is the only inventor on these two and he's been working from home post-surgery. At the beginning of the month, he indicated he'd be available to review and sign, but he hasn't returned my emails or phone calls for about a week and a half. He has still not given any feedback on the first draft of either of these.

-----Original Message-----

From: Jon Harris
Sent: Tuesday, September 25, 2001 9:22 AM
To: Andrew Aranda; Gene C. Vallow; Collin A. Rose
Cc: Colleen Brown
Subject: RE: ISSG STATUS CHART_v3.DOC

Of the 17 server-related cases we told CPQ we'd file this quarter, which ends Friday, 12 have either been filed or are out with CPQ Legal awaiting inventor signatures. The remaining 5 still have not gotten to the point where we can send them out for formal doc signatures. Not to sound like a broken record, but please do whatever you can to expedite the process. Call or email the inventors and tactfully and gently encourage them to review the applications today or tomorrow if possible. Please advise

38700 - Collin, your initial draft of this is going out today. Please call Ken Baker and see if he has time to review it. Since this is an 11th hour sort of deal

391, 392, 398 - Andrew - see where the inventors are reviewing these cases.

41300 - Gene, tell AI if the case is ready to send it over so Colleen can send it out for formal docs

Colleen - Please ask Paul Olarig to at least sign and fax his declarations this week. Let me know if there are any other ex employees who haven't signed yet. Also, please make sending out any initial drafts and formal docs a top priority.

If I can be of any assistance to anyone, please advise.

If a case has to be pushed to Q4, it's certainly not the end of the world (keeping mind any bar dates of course). However, it's much much better if we can file cases when we tell CPQ we're going to.

Jon

-----Original Message-----

From: Jon Harris
Sent: Tuesday, September 04, 2001 2:46 PM
To: Andrew Aranda; Mark E. Scott; Gene C. Vallow
Cc: Michael Heim
Subject: ISSG STATUS CHART_v3.DOC

Gentlemen-

Here's the chart I sent to CPQ. Please do your very best to live and die by the Q3 filings we've now told CPQ. If you anticipate being hit by a bus in the next few weeks, please make sure someone else can continue your work. (I include myself in this as well)

Fondly,

Jon

<< File: ISSG STATUS CHART_v3.DOC >>